THE FORT AT JAMESTOWN  The Jamestown settlement was beset with difficulties from its first days, and it was many decades before it became a stable and successful town. In its early years, the colonists suffered from the climate, the lack of food, and the spread of disease. They also struggled with the growing hostility of the neighboring Indians, illustrated in this map by the figure of their chief, Powhatan, in the upper right-hand corner.  (Art Resource, NY)
The first permanent English settlements were mostly business enterprises—small, fragile communities, generally unprepared for the hardships they were to face. As in Ireland, there were few efforts to blend English society with the society of the natives. The Europeans attempted, as best they could, to isolate themselves from the Indians and create enclosed societies that would be wholly their own—“transplantations” of the English world they had left behind. This proved an impossible task. The English immigrants to America found a world populated by Native American tribes; by colonists, explorers, and traders from Spain, France, and the Netherlands; and by immigrants from other parts of Europe and, soon, Africa. American society was from the beginning a fusion of many cultures—what historians have come to call a “middle ground,” in which disparate people and cultures coexist.

All of British North America was, in effect, a borderland, or “middle ground,” during the early years of colonization. Through much of the seventeenth century, European colonies both relied upon and did battle with the Indian tribes and struggled with challenges from other Europeans in their midst. Eventually, however, some areas of English settlement—especially the growing communities along the eastern seaboard—managed to dominate their own regions, marginalizing or expelling Indians and other challengers. In these eastern colonies, the English created significant towns and cities; built political, religious, and educational institutions; and created agricultural systems of great productivity. They also developed substantial differences from one another—perhaps most notably in the growth of a slave-driven agricultural economy in the South, which had few counterparts in the North.

“Middle grounds” survived well into the nineteenth century in much of North America, but increasingly in the borderland in the interior of the continent. These were communities in which Europeans had not yet established full control, in which both Indians and Europeans exercised influence and power and lived intimately, if often uneasily, with one another.
THE EARLY CHESAPEAKE

After James I issued his 1606 charters to the London and Plymouth Companies, the principal obstacle to founding new American colonies was, as usual, money. The Plymouth group made an early, unsuccessful attempt to establish a colony at Sagadoahoc, on the coast of Maine; but in the aftermath of that failure, it largely abandoned its colonizing efforts. The London Company, by contrast, moved quickly and decisively. Only a few months after receiving its charter, it launched a colonizing expedition headed for Virginia—a party of 144 men aboard three ships: the Godspeed, the Discovery, and the Susan Constant.

The Founding of Jamestown

Only 104 men survived the journey. They reached the American coast in the spring of 1607, sailed into the Chesapeake Bay and up a river they named the James, and established their colony on a peninsula extending from the river’s northern bank. They called it Jamestown. The colonists had chosen their site poorly. In an effort to avoid the mistakes of Roanoke (whose residents were assumed to have been killed by Indians), they selected what they believed to be an easily defended location—an inland setting that they believed would offer them security. But the site was low and swampy, hot and humid in the summer, and prey to outbreaks of malaria. It was surrounded by thick woods, which were difficult to clear for cultivation. And it lay within the territories of powerful local Indians, a confederation led by the imperial chief Powhatan. The result could hardly have been more disastrous.

The initial colonists, too many of whom were adventurous gentlemen and too few of whom were willing laborers, ran into serious difficulties from the moment they landed. Much like the Indians to the south who had succumbed quickly to European diseases when first exposed to them, these English settlers had had no prior exposure, and thus no immunity, to the infections of the new land. Malaria, in particular, debilitated the colony, killing some and weakening others so they could do virtually no work. Because the promoters in London demanded a quick return on their investment, the colonists spent much of their limited and dwindling energy on futile searches for gold. They made only slightly more successful efforts to pile up lumber, tar, pitch, and iron for export. Agriculture was a low priority, in part because they wrongly assumed that they could rely on the Indians to provide them with food.

The London Company promoters had little interest in creating a family-centered community, and at first they sent no women to Jamestown. The absence of English women made it difficult for the settlers to establish any semblance of a “society.” The colonists were seldom able (and also seldom willing) to intermarry with native women, although some native women are thought to have lived in the settlements with the English men. With so few women, settlers could not establish real households, could not order their domestic lives, and had difficulty feeling any sense of a permanent stake in the community.

Greed and rootlessness contributed to the failure to grow sufficient food; inadequate diets contributed to the colonists’ vulnerability to disease; the ravages of disease made it difficult for the settlers to recover from their early mistakes. The result was a community without the means to sustain itself. By January 1608, when ships appeared with additional men and supplies, all but 38 of the first 104 colonists were dead. Jamestown, now facing extinction, survived the crisis largely because of the efforts of twenty-seven-year-old Captain John Smith. He was already a famous world traveler, the hero of implausible travel narratives he had written and published. But he was also a capable organizer. Leadership in the colony had been divided among the several members of a council who quarreled continually. In the fall of 1608, however, Smith became council president and asserted his will. He imposed work and order on the community. He also organized raids on neighboring Indian villages to steal food. During the colony’s second winter, fewer than a dozen (in a population of about 200) died. By the summer of 1609, when Smith was deposed from the council and returned to England to receive treatment for a serious powder burn, the colony was showing promise of survival.

Reorganization

The London Company (now calling itself the Virginia Company) was, in the meantime, dreaming of bigger things. In 1609 it obtained a new charter from the king, which increased its power over the colony and enlarged the area of land to which it had title. The company raised additional capital by selling stock to “adventurers” who would remain in England but share in future profits. It attracted new settlers by offering additional stock to “planters” who were willing to migrate at their own expense. And it provided free passage to Virginia for poorer people who would agree to serve the company for seven years. In the spring of 1609, confident that it was now poised to transform Jamestown into a vibrant, successful venture, the company launched a “great fleet” of nine vessels with about 600 people (including some women and children) aboard—headed for Virginia.

More disaster followed. One of the Virginia-bound ships was lost at sea in a hurricane. Another ran aground on one of the Bermuda islands and was unable to free itself for months. Many of those who reached Jamestown, still weak from their long and stormy voyage, succumbed to fevers before the cold weather came. The winter of 1609–1610
became known as the “starving time,” a period worse than anything before. The local Indians, antagonized by John Smith’s raids and other hostile actions by the early English settlers, killed off the livestock in the woods and kept the colonists barricaded within their palisade. The Europeans lived on what they could find: “dogs, cats, rats, snakes, toadstools, horseskins,” and even the “corpses of dead men,” as one survivor recalled. The following May, the migrants who had run aground and been stranded on Bermuda finally arrived in Jamestown. They found only about 60 people (out of 500 residents the previous summer) still alive—and those so weakened by the ordeal that they seemed scarcely human. There seemed no point in staying on. The new arrivals took the survivors onto their ship, abandoned the settlement, and sailed downriver for home.

That might have been the end of Jamestown had it not been for an extraordinary twist of fate. As the refugees proceeded down the James toward the Chesapeake Bay, they met an English ship coming up the river—part of a fleet bringing supplies and the colony’s first governor, Lord De La Warr. The departing settlers agreed to turn around and return to Jamestown. New relief expeditions with hundreds of colonists soon began to arrive; and the effort to turn a profit in Jamestown resumed.

De La Warr and his successors (Sir Thomas Dale and Sir Thomas Gates) imposed a harsh and rigid discipline on the colony. They organized settlers into work gangs. They sentenced offenders to be flogged, hanged, or broken on the wheel. But this communal system of labor did not function effectively for long. Settlers often evaded work, “presuming that however the harvest prospered, the general store must maintain them.” Governor Dale soon concluded that the colony would fare better if the colonists had personal incentives to work. He began to permit the private ownership and cultivation of land. Landowners would repay the company with part-time work and contributions of grain to its storehouses.

Under the leadership of these first, harsh governors, Virginia was not always a happy place. But it survived and even expanded. New settlements began lining the river above and below Jamestown. The expansion was partly a result of the order and discipline the governors at times managed to impose. It was partly a product of increased military assaults on the local Indian tribes, which provided protection for the new settlements. But it also occurred because the colonists had at last discovered a marketable crop: tobacco.

**Tobacco**

Europeans had become aware of tobacco soon after Columbus’s first return from the West Indies, where he had seen the Cuban natives smoking small cigars (tabacos), which they inserted in the nostril. By the early seventeenth century, tobacco from the Spanish colonies was already in wide use in Europe. Some critics denounced it as a poisonous weed, the cause of many diseases. King James I himself led the attack with “A Counterblaste to Tobacco” (1604), in which he urged his people not to imitate “the barbarous and beastly manners of the wild, godless, and slavish Indians, especially in so vile and stinking a custom.” Other critics were concerned because England’s tobacco purchases from the Spanish colonies meant a drain of English gold to the Spanish importers. Still, the demand for tobacco soared.

Then in 1612, the Jamestown planter John Rolfe began to experiment in Virginia with a harsh strain of tobacco that local Indians had been growing for years. He produced crops of high quality and found ready buyers in England. Tobacco cultivation quickly spread up and down the James. The character of this
tobacco economy—its profitability, its uncertainty, its land and labor demands—transformed Chesapeake society in fundamental ways.

Of most immediate importance, perhaps, was the pressure tobacco cultivation created for territorial expansion. Tobacco growers needed large areas of farmland to grow their crops, and because tobacco exhausted the soil after only a few years, the demand for land increased even more. English farmers began establishing plantations deeper and deeper in the interior, isolating themselves from the center of European settlement at Jamestown and encroaching on territory the natives considered their own.

**Expansion**

Even the discovery of tobacco cultivation was not enough to help the Virginia Company. By 1616, there were still no profits, only land and debts. Nevertheless, the promoters continued to hope that the tobacco trade would allow them finally to turn the corner. In 1618, they launched a last great campaign to attract settlers and make the colony profitable.

Part of that campaign was an effort to recruit new settlers and workers to the colony. The company established what they called the “headright” system. Headrights were fifty-acre grants of land, which new settlers could acquire in a variety of ways. Those who already lived in the colony received 100 acres apiece. Each new settler received a single headright for himself or herself. This system encouraged family groups to migrate together, since the more family members traveled to America, the larger the landholding the family would receive. In addition, anyone (new settler or old) who paid for the passage of other immigrants to Virginia would receive an additional headright for each new arrival—thus, it was hoped, inducing the prosperous to import new laborers to America. Some colonists were able to assemble sizable plantations with the combined headrights they received for their families and their servants. In return, they contributed a small quitrent (one shilling a year for each headright) to the company.

The company added other incentives as well. To diversify the colonial economy, it transported ironworkers and other skilled craftsmen to Virginia. In 1619, it sent 100 Englishwomen to the colony (which was still overwhelmingly male) to become the wives of male colonists. (The women could be purchased for 120 pounds of tobacco and enjoyed a status somewhere between indentured servants and free people, depending on the goodwill—or lack of it—of their husbands.) It promised the colonists the full rights of Englishmen (as provided in the original charter of 1606), an end to the strict and arbitrary rule of the communal years, and even a share in self-government. On July 30, 1619, in the Jamestown church, delegates from the various communities met as the House of Burgesses. It was the first meeting of an elected legislature, a representative assembly, within what was to become the United States.

A month later, another event in Virginia established a very different but no less momentous precedent. As John Rolfe recorded, “about the latter end of August” a Dutch ship brought in “20 and odd Negroes.” The status and fate of these first Africans in the English colonies remains obscure. There is some reason to believe that the colonists did not consider them slaves, that they thought of them as servants to be held for a term of years and then freed, like the white servants with whom the planters were already familiar. For a time, moreover, the use of black labor remained limited. Although Africans continued to trickle steadily into the colony, planters continued to prefer European indentured servants until at least the 1670s, when such servants began to become scarce and expensive. But whether or not anyone realized it at the time, the small group of black people who arrived in 1619 marked a first step toward the enslavement of Africans within what was to be the American republic.

The expansion of the colony was able to proceed only because of effective suppression of the local Indians, who resisted the expanding English presence. For two years, Sir Thomas Dale led unrelenting assaults against the Powhatan Indians and in the process...
kiddnapped the great chief Powhatan’s daughter Pocahontas. When Powhatan refused to ransom her, she converted to Christianity and in 1614 married John Rolfe. (Pocahontas accompanied her husband back to England, where, as a Christian convert and a gracious woman, she stirred interest in projects to “civilize” the Indians. She died while abroad.) At that point, Powhatan ceased his attacks on the English in the face of overwhelming odds. But after his death several years later, his brother, Opechancanough, became head of the native confederacy. Recognizing that the position of his tribe was rapidly deteriorating, he resumed the effort to defend tribal lands from European encroachments. On a March morning in 1622, tribesmen called on the white settlements as if to offer goods for sale, then suddenly attacked. Not until 347 whites of both sexes and all ages lay dead or dying were the Indian warriors finally forced to retreat. The surviving English struck back mercilessly at the Indians and turned back the threat for a time. Only after Opechancanough led another unsuccessful uprising in 1644 did the Powhatans finally cease to challenge the eastern regions of the colony.

By then the Virginia Company in London was defunct. The company had poured virtually all its funds into its profitless Jamestown venture and in the aftermath of the 1622 Indian uprising faced imminent bankruptcy. In 1624, James I revoked the company’s charter, and the colony came under the control of the crown. It would remain so until 1776.

**Exchanges of Agricultural Technology**

The hostility the early English settlers expressed toward their Indian neighbors was in part a result of their conviction that their own civilization was greatly superior to that of the natives—and perhaps above all that they were more technologically advanced. The English, after all, had great ocean-going vessels, muskets and other advanced implements of weaponry; and many other tools that the Indians had not developed. Indeed, when John Smith and other early Jamestown residents grew frustrated at their inability to find gold and other precious commodities, they often blamed the backwardness of the natives. The Spanish in South America, Smith once wrote, had grown rich because the natives there had built advanced civilizations and mined much gold and silver. If Mexico and Peru had been as “ill peopled, as little planted, laboured and manured as Virginia,” he added, the Spanish would have found no more wealth than the English did.

Yet the survival of Jamestown was, in the end, largely a result of agricultural technologies developed by Indians and borrowed by the English. Native agriculture was far better adapted to the soil and climate of Virginia than were the agricultural traditions the English settlers brought with them. The Indians of Virginia had built successful farms with neatly ordered fields in which grew a variety of crops, some of which had been previously unknown to the English. Some of the Indian farm-lands stretched over hundreds of acres and supported substantial populations.

The English settlers did not adopt all the Indian agricultural techniques. Natives cleared fields not, as the English did, by cutting down and uprooting all the trees. Instead, they killed trees in place by “girdling” them (that is, making deep incisions around the base) in the areas in which they planted or by setting fire to their roots; and they planted crops not in long, straight rows, but in curving patterns around the dead tree trunks. But in other respects, the English learned a great deal from the Indians about how to grow food in the New World. In particular, they quickly recognized the great value of corn, which proved to be easier to cultivate and to produce much greater yields than any of the European grains the English had known at home. Corn was also attractive to the settlers because its stalks could be a source of sugar and it spoiled less easily than other grains.
The English also learned the advantages of growing beans alongside corn to enrich the soil.

**Maryland and the Calverts**

Maryland was founded under circumstances very different from those of Virginia, but it nonetheless developed in ways markedly similar to those of its neighbor to the south. The new colony was the dream of George Calvert, the first Lord Baltimore, a recent convert to Catholicism and a shrewd businessman. Calvert envisioned establishing a colony both as a great speculative venture in real estate and as a retreat for English Catholics, many of whom felt oppressed by the Anglican establishment at home. He died before he could receive a charter from the king. But in 1632, his son Cecilius, the second Lord Baltimore, received a charter remarkable not only for the extent of the territory it granted him—an area that encompassed parts of what are now Pennsylvania, Delaware, and Virginia, in addition to present-day Maryland—but also for the powers it bestowed on him. He and his heirs were to hold their province as “true and absolute lords and proprietaries,” and were to acknowledge the ultimate sovereignty of the king only by paying an annual fee to the crown.

Lord Baltimore named his brother, Leonard Calvert, governor and sent him with another brother to oversee the settlement of the province. In March 1634, two ships—the *Ark* and the *Dove*—bearing 200 to 300 passengers entered the Potomac River and turned into one of its eastern tributaries. On a high and dry bluff, these first arrivals laid out the village of St. Mary’s (named, diplomatically, for the queen). The neighboring Indians, who were more worried about rival tribes in the region than they were about the new arrivals, befriended the settlers, provided them with temporary shelter, sold them land, and supplied them with corn. Unlike the Virginians, the early Marylanders experienced no Indian assaults, no plagues, no starving time.

The Calverts had invested heavily in their American possessions, and they needed to attract many settlers to make the effort profitable. As a result, they had to encourage the immigration of Protestants as well as their fellow English Catholics, who were both relatively few in number (about 2 percent of the population of England) and generally reluctant to emigrate. The Protestant settlers (mostly Anglicans) outnumbered the Catholics from the start, and the Calverts quickly realized that Catholics would always be a minority in the colony. They prudently adopted a policy of religious toleration. To appease the non-Catholic majority, Calvert appointed a Protestant as governor in 1648. A year later, he sent from England the draft of an “Act Concerning Religion,” which assured freedom of worship to all Christians.

Nevertheless, politics in Maryland remained plagued for years by tensions between the Catholic minority (which included the proprietor) and the Protestant majority. Zealous Jesuits and crusading Puritans frightened and antagonized their opponents with their efforts to establish the dominance of their own religion. At one point, the Protestant majority barred Catholics from voting and repealed the Toleration Act. There was frequent violence, and in 1655 a civil war temporarily unseated the proprietary government and replaced it with one dominated by Protestants.

By 1640, a severe labor shortage in the colony had forced a change in the land grant procedure; and Maryland, like Virginia, adopted a “headright” system—a grant of 100 acres to each male settler, another 100 for his wife and each servant, and 50 for each of his children. Like Virginia, Maryland became a center of tobacco cultivation; and as in Virginia, planters worked their land with the aid, first, of indentured servants imported from England and then, beginning late in the seventeenth century, with slaves imported from Africa.
Turbulent Virginia

By the mid-seventeenth century, the Virginia colony had survived its early disasters, and both its population and the complexity and profitability of its economy were increasing. It was also growing more politically contentious, as emerging factions within the province began to compete for the favor of the government. Perhaps the most important dispute involved policy toward the natives. As settlement moved west, farther into Indian lands, border conflicts grew increasingly frequent. Much of the tension within English Virginia in the late seventeenth century revolved around how to respond to those conflicts.

Sir William Berkeley arrived in Virginia in 1642 at the age of thirty-six, appointed governor by King Charles I. With but one interruption, he remained in control of the government until the 1670s. Berkeley was popular at first as he sent explorers across the Blue Ridge Mountains to open up the western interior of Virginia. He organized the force that put down the 1644 Indian uprising. The defeated Indians ceded a large area of land to the English, but Berkeley agreed to prohibit white settlement west of a line he negotiated with the tribes.

This attempt to protect Indian territory—like many such attempts later in American history—was a failure from the start, largely because of the rapid growth of the Virginia population. Oliver Cromwell's victory in 1649 in the English Civil War (see p. 52) and the flight of many of his defeated opponents to the colony contributed to what was already a substantial population increase. Between 1640 and 1650, Virginia's population doubled from 8,000 to 16,000. By 1660, it had more than doubled again, to 40,000. As the choice lands along the tidewater became scarce, new arrivals and indentured servants completing their terms or escaping from their masters pressed westward into the piedmont. By 1652, English settlers had established three counties in the territory promised to the Indians. Unsurprisingly, there were frequent clashes between natives and whites.

By the 1660s, Berkeley had become a virtual autocrat in the colony. When the first burgesses were elected in 1619, all men aged seventeen or older were entitled to vote. By 1670, the vote was restricted to landowners, and elections were rare. The same burgesses, loyal and subservient to the governor, remained in office year after year. Each county continued to have only two representatives, even though some of the new counties of the interior contained many more people than the older ones of the tidewater area. Thus the more recent settlers in the “backcountry” were underrepresented or (if living in areas not yet formally organized as counties) not represented at all.

Bacon’s Rebellion

In 1676, backcountry unrest and political rivalries combined to create a major conflict. Nathaniel Bacon, a wealthy young graduate of Cambridge University, arrived in Virginia in 1673. He purchased a substantial farm in the west and won a seat on the governor’s council. He established himself, in other words, as a member of the backcountry gentry.

But the new and influential western landowners were soon squabbling with the leaders of the tidewater region in the east. They disagreed on many issues, but above all on policies toward the natives. The backcountry settlements were in constant danger of attack from Indians, because many of these settlements were being established on lands reserved for the tribes by treaty. White settlers in western Virginia had long resented the governor’s attempts to hold the line of settlement steady so as to avoid antagonizing the natives. That policy was, they believed, an effort by the eastern aristocracy to protect its dominance by holding down the white population in the west. (In reality, the policy was at least as much an effort by Berkeley to protect his own lucrative fur trade with the Indians.)

Bacon, an aristocratic man with great political ambitions, had additional reasons for unhappiness with Berkeley. He resented his exclusion from the inner circle of the governor’s council (the so-called Green Spring group, whose members enjoyed special access to patronage). Bacon also fumed about Berkeley’s refusal to allow him a piece of the Indian fur trade. He was developing grievances that made him a natural leader of an opposing faction.

Bloody events thrust him into that role. In 1675, some Doeg Indians—angry about the European intrusions into their lands—raided a western plantation and killed a white servant. Bands of local whites struck back angrily and haphazardly, attacking not only the small Doeg tribe but the powerful Susquehannock as well. The Indians responded with more raids on plantations and killed many more white settlers. As the fighting escalated, Bacon and other concerned landholders—unhappy with the governor’s cautious response to their demand for help—defied Berkeley and struck out on their own against the Indians. Berkeley dismissed Bacon from the governor’s council and proclaimed him and his men rebels. At that point, what had started as an unauthorized assault on the Indians became a military challenge to the colonial government, a conflict known as Bacon’s Rebellion. It was the largest and most powerful insurrection against established authority in the history of the colonies, one that would not be surpassed until the Revolution.

Twice, Bacon led his army east to Jamestown. The first time he won a temporary pardon from the governor; the second time, after the governor reneged on the agreement, he burned the city and drove the governor into exile. In the midst of widespread social turmoil throughout the colony, Bacon stood on the verge of taking command of Virginia. Instead, he died suddenly of dysentery; and Berkeley, his position bolstered by the arrival of British troops, soon managed to regain control. In 1677, the Indians (aware of their inability to defeat the white
forces militarily) reluctantly signed a new treaty that opened additional lands to white settlement.

Bacon’s Rebellion was significant for several reasons. It was part of the continuing struggle to define the boundary between Indian and white lands in Virginia; it showed how unwilling the English settlers were to abide by earlier agreements with the natives, and how unwilling the Indians were to tolerate further white movement into their territory. It revealed the bitterness of the competition between eastern and western landowners. But it also revealed something that Bacon himself had never intended to unleash: the potential for instability in the colony’s large population of free, landless men. These men—most of them former indentured servants, propertyless, unemployed, with no real prospects—had formed the bulk of Bacon’s constituency during the rebellion. They had become a large, unstable, floating population eager above all for access to land. Bacon had for a time maintained his popularity among them by exploiting their hatred of Indians. Gradually, however, he found himself unintentionally leading a movement that reflected the animosity of these landless men toward the landed gentry of which Bacon himself was a part.

One result was that landed people in both eastern and western Virginia began to recognize a common interest in preventing social unrest from below. That was one of several reasons that they turned increasingly to the African slave trade to fulfill their need for labor. Enslaved blacks might pose dangers too, but the events of 1676 persuaded many colonists that the perils of importing a large white subordinate class were even greater.

THE GROWTH OF NEW ENGLAND

The first enduring settlement in New England—the second in English America—resulted from the discontent of a congregation of Puritan Separatists in England. For years, Separatists had been periodically imprisoned and even executed for defying the government and the Church of England; some of them, as a result, began to contemplate leaving England altogether in search of freedom to worship as they wished—even though Puritans did not believe in religious freedom for all others.

Plymouth Plantation

It was illegal to leave England without the consent of the king. In 1608, however, a congregation of Separatists from the hamlet of Scrooby began emigrating quietly, a few at a time, to Leyden, Holland, where they could worship without interference. They were, however, barred from the Dutch craft guilds and had to work at unskilled and poorly paid jobs. They were also troubled by the effects of the tolerant atmosphere of Dutch society, which threatened their dream of a close-knit Christian community, as had the repression in England. As a result, some of the Separatists decided to move again, this time across the Atlantic, where they hoped to create the kind of community they wanted and where they could spread “the gospel of the Kingdom of Christ in those remote parts of the world.”

Leaders of the Scrooby group obtained permission from the Virginia Company to settle in Virginia. From the king, they received informal assurances that he would “not molest them, provided they carried themselves peaceably.” (This was a historic concession by the crown, for it opened English America to settlement not only by the Scrooby group but by other dissenting Protestants as well.) Several English merchants agreed to advance the necessary funds in exchange for a share in the profits of the settlement at the end of seven years.

The migrating Puritans “knew they were pilgrims” even before they left Holland, their leader and historian, William Bradford, later wrote. In September 1620 they left the port of Plymouth, on the English coast, in the Mayflower with thirty-five “saints” (Puritan Separatists) and sixty-seven “strangers” (people who were not full members of the leaders’ church) aboard. By the time they sighted land in November, it was too late in the year to go on. Their original destination was probably the mouth of the Hudson River, in what is now New York. But they found themselves instead on Cape Cod. After exploring the region for a while, they chose a site for their settlement in the area just north of the cape; an area Captain John Smith had named “Plymouth” (after the English port from which the Puritans had sailed) during an exploratory journey some years before. Plymouth lay outside the London Company’s territory, and the settlers realized they had no legal basis for settling there. As a result, forty-one male passengers signed a document, the Mayflower Compact, which established a civil government and proclaimed their allegiance to the king. Then, on December 21, 1620, the Pilgrims stepped ashore at Plymouth Rock.

They settled on cleared land that had once been an Indian village until, three years earlier, a mysterious epidemic—known as “the plague” and probably brought to the region by earlier European explorers—had swept through the region and substantially depopulated it. The Pilgrims’ first winter was a difficult one; half the colonists perished from malnutrition, disease, and exposure. But the colony survived.

Like the Spanish and Portuguese colonists in the southern regions of the Americas, the Pilgrims (and other future English colonists) brought more to the New World than people and ideas. They also made profound changes in the natural landscape of New England. A smallpox epidemic caused by English carriers almost eliminated the Indian population in the areas around Plymouth in the early 1630s, which transformed the social landscape of the region. The
English demand for furs, animal skins, and meat greatly depleted the number of wild animals in the areas around Plymouth, one reason colonists worked so hard to develop stocks of domestic animals—many of them (such as horses, cattle, sheep, and hogs) imported from Europe and never before seen in America. The Pilgrims and later English settlers also introduced new crops (wheat, barley, oats, and others), while incorporating many native foods (among them corn, potatoes, and peas) into their own diets—and eventually exporting them back to England and the rest of Europe. Gradually, colonial society imposed a European pattern onto the American landscape, as the settlers fenced in pastures, meadows, orchards, and fields for cultivation.

The Pilgrims’ experience with the Indians was, for a time at least, very different from the experiences of the early English settlers farther south. That was in part because the remaining natives in the region—their numbers thinned by disease—were significantly weaker than their southern neighbors and realized they had to get along with the Europeans. In the end, the survival and growth of the colony depended crucially on the assistance they received from natives. Important Indian friends—Squanto and Samoset, among others—showed them how to gather seafood, cultivate corn, and hunt local animals. Squanto, a Pawtuxet who had earlier been captured by an English explorer and taken to Europe, spoke English and was of particular help to the settlers in forming an alliance with the local Wampanoags, under Chief Massasoit. After the first harvest, in 1621, the settlers marked the alliance by inviting the Indians to join them in an October festival, the first Thanksgiving.

But the relationship between the settlers and the local Indians was not happy for long. Thirteen years after the Pilgrims’ arrival, a devastating smallpox epidemic—a result of contact with English settlers—wiped out much of the Indian population around Plymouth.

The Pilgrims could not hope to create rich farms on the sandy, marshy soil, and their early fishing efforts produced no profits. In 1622, the military officer Miles Standish, one of the leaders of the colony, established a semi-military regime to impose discipline on the settlers. Eventually the Pilgrims began to grow enough corn and other crops to provide them with a modest trading surplus. They also developed a small fur trade with the Abenaki Indians of Maine. From time to time new colonists arrived from England, and in a decade the population reached 300.

The people of “Plymouth Plantation,” as they called their settlement, chose William Bradford again and again to be their governor. As early as 1621, he persuaded the Council for New England (the successor to the old Plymouth Company, which had charter rights to the territory) to give them legal permission to live there. He ended the communal labor plan Standish had helped create, distributed land among the families, and thus, as he explained it, made “all hands very industrious.” He and a group of fellow “undertakers” took over the colony’s debt to its original financiers in England and, with earnings from the fur trade, finally paid it off—even though the financiers had repeatedly cheated them and had failed to send them promised supplies.

The Pilgrims were always a poor community. As late as the 1640s, they had only one plow among them. But they clung to the belief that God had put them in the New World to live as a truly Christian community; and they were, on the whole, content to live their lives in what they considered godly ways.

At times, they spoke of serving as a model for other Christians. Governor Bradford wrote in retrospect: “As one small candle may light a thousand, so the light here kindled hath shone to many, yea in some sort to our whole nation.” But the Pilgrims were less committed to grand designs, less concerned about how they were viewed by others, than the Puritans who settled the larger and more ambitious English colonies to their north.

**The Massachusetts Bay Experiment**

Turbulent events in England in the 1620s (combined with the example of the Plymouth colony) created strong interest in colonization among other groups of Puritans. James I had been creating serious tensions for years between himself and Parliament through his effort to claim the divine right of kings and by his harsh, repressive policies toward the Puritans. The situation worsened after his death in 1625, when he was succeeded by his son, Charles I. By favoring Roman Catholicism and trying to destroy religious nonconformity, he started the nation down the road that in the 1640s would lead to civil war. The Puritans were particular targets of Charles’s policies. Some were imprisoned for their beliefs, and many began to consider the climate of England intolerable. The king’s disbanding of Parliament in 1629 (it was not to be recalled until 1640) ensured that there would be no political solution to the Puritans’ problems.

In the midst of this political and social turmoil, a group of Puritan merchants began organizing a new enterprise designed to take advantage of opportunities in America. At first their interest was largely an economic one. They obtained a grant of land in New England for most of the area now comprising Massachusetts and New Hampshire; they acquired a charter from the king (who was evidently unaware that they were Puritans) allowing them to create the Massachusetts Bay Company and to establish a colony in the New World; and they bought equipment and supplies from a defunct fishing and trading company that had attempted (and failed) to establish a profitable enterprise in North America. In 1629, they were ready to dispatch a substantial group of settlers to New England.
Among the members of the Massachusetts Bay Company, however, were a number of Puritans who saw the enterprise as something more than a business venture. They began to consider emigrating themselves and creating a haven for Puritans in New England. Members of this faction met secretly in Cambridge in the summer of 1629 and agreed to buy out the other investors and move en masse to America.

As governor, the new owners of the company chose John Winthrop, an affluent, university-educated gentleman with a deep piety and a forceful character. Winthrop had been instrumental in organizing the migration, and he commanded the expedition that sailed for New England in 1630: seventeen ships and 1,000 people (who were, unlike the earlier migrants to Virginia, mostly family groups). It was the largest single migration of its kind in the seventeenth century. Winthrop carried with him the charter of the Massachusetts Bay Company, which meant that the colonists would be responsible to no company officials in England, only to themselves.

The Massachusetts migration quickly produced several different new settlements. The port of Boston, at the mouth of the Charles River, became the company’s head-quarters and the colony’s capital. But in the course of the next decade colonists moved into a number of other new towns in eastern Massachusetts: Charlestown, Newtown (later renamed Cambridge), Roxbury, Dorchester, Watertown, Ipswich, Concord, Sudbury, and others.

The Massachusetts Bay Company soon transformed itself into a colonial government. According to the original company charter, the eight stockholders (or “free-men”) were to meet as a general court to choose officers and adopt rules for the corporation. But eventually the definition of “free-men” changed to include all male citizens, not just the stockholders. John Winthrop dominated colonial politics just as he had dominated the original corporation, but after 1634 he and most other officers of the colony had to face election each year.

Unlike the Separatist founders of Plymouth, the founders of Massachusetts had no intention of breaking from
the Church of England. Yet, if they continued to feel any real attachment to the Anglican establishment, they gave little sign of it. In every town, the community church had (in the words of the prominent minister John Cotton) “complete liberty to stand alone,” unlike churches in the highly centralized Anglican structure in England. Each congregation chose its own minister and regulated its own affairs. In both Plymouth and Massachusetts, this form of parish organization eventually became known as the Congregational Church.

The Massachusetts Puritans were not grim or joyless, as many observers would later portray them. They were, however, serious and pious people. They strove to lead useful, conscientious lives of thrift and hard work, and they honored material success as evidence of God’s favor. “We here enjoy God and Jesus Christ,” Winthrop wrote to his wife soon after his arrival; “is this not enough?” He and the other Massachusetts founders believed they were founding a holy commonwealth—a “city upon a hill”—that could serve as a model for the rest of the world.

If Massachusetts was to become a beacon to others, it had first to maintain its own “holiness.” Ministers had no formal political power, but they exerted great influence on church members, who were the only people who could vote or hold office. The government in turn protected the ministers, taxed the people (members and nonmembers alike) to support the church, and enforced the law requiring attendance at services. Dissidents had no more freedom of worship in America than the Puritans themselves had had in England. Colonial Massachusetts was, in effect, a “theocracy,” a society in which the line between the church and the state was hard to see.

Like other new settlements, the Massachusetts Bay colony had early difficulties. During their first winter, an unusually severe one, nearly a third of the colonists died; others left in the spring. But more rapidly than Jamestown or Plymouth, the colony grew and prospered. The Pilgrims and neighboring Indians helped with food and advice. Affluent incoming settlers brought needed tools and other goods, which they exchanged for the cattle, corn, and other produce of the established colonists and the natives. The large number of family groups in the colony (in sharp contrast to the early years at Jamestown) helped ensure a feeling of commitment to the community and a sense of order among the settlers. It also allowed the population to reproduce itself more rapidly. The strong religious and political hierarchy ensured a measure of social stability.

The Expansion of New England

As the population grew, more and more people arrived in Massachusetts who did not accept all the religious tenets of the colony’s leaders or who were not Puritan “saints” and hence could not vote. Newcomers had a choice of conforming to the religious practices of the colony or leaving. Many left, helping to begin a process that would spread settlement throughout present-day New England and beyond.

The Connecticut Valley, about 100 miles west of the edge of European settlement around Boston, began attracting English families as early as the 1630s. The valley appealed in particular to Thomas Hooker, a minister of Newtown (Cambridge), who defied the Massachusetts government in 1635 and led his congregation through the wilds to establish the town of Hartford. Four years later, the people of Hartford and of two other towns established a colonial government of their own and adopted a constitution known as the Fundamental Orders of Connecticut.

Another Connecticut colony, the project of a Puritan minister and a wealthy merchant from England, grew up around New Haven on the Connecticut coast. It reflected impatience with what its founders considered increasing religious laxity in Massachusetts. The Fundamental Articles of New Haven (1639) established a religious government even stricter than that in Boston. New Haven remained independent until 1662, when a royal charter combined it with Hartford to create the colony of Connecticut.

Rhode Island had its origins in the religious and political dissent of Roger Williams, an engaging but controversial young minister who lived for a time in Salem, Massachusetts. Even John Winthrop, who considered Williams a heretic, called him a “sweet and amiable” man, and William Bradford described him as “a man godly and zealous.” But he was, Bradford added, “very unsettled in judgment.” Williams, a confirmed Separatist, argued that the Massachusetts church should abandon all allegiance to the Church of England. More disturbing to the clergy, he called for a complete separation of church and state—to protect the church from the corruption of the secular world. The colonial government, alarmed at this challenge to its spiritual authority, banished him. During the bitter winter of 1635–1636, he took refuge with Narragansett tribesmen; the following spring he bought a tract of land from them and, with a few followers, created the town of Providence on it. Other communities of dissidents followed him to what became Rhode Island, and in 1644 Williams obtained a charter from Parliament permitting him to establish a government. Rhode Island’s government gave no support to the church and allowed “liberty in religious concerns.” For a time, it was the only colony in which members of all faiths (including Jews) could worship without interference.

An even greater challenge to the established order in Massachusetts Bay emerged in the person of Anne Hutchinson, an intelligent and charismatic woman from a substantial Boston family. Hutchinson had come to Massachusetts with her husband in 1634. She antagonized
Anne Hutchinson

For an interactive version of this map, go to www.mhhe.com/brinkley13ech2maps

the leaders of the colony by arguing vehemently that the members of the Massachusetts clergy who were not among the “elect”—that is, had not undergone a conversion experience—had no right to spiritual office. Over time, she claimed that many clergy—among them her own uninspiring minister—were among the nonelect and had no right to exercise authority over their congregations. She eventually charged that all the ministers in Massachusetts—save community leader John Cotton and her own bother-in-law—were not among the elect. Alongside such teachings (which her critics called “Antinomianism,” from the Greek meaning “hostile to the law”), Hutchinson also created alarm by affronting prevailing assumptions about the proper role of women in Puritan society. She was not a retiring, deferential wife and mother, but a powerful religious figure in her own right.

Hutchinson developed a large following among women, to whom she offered an active role in religious affairs. She also attracted support from others (merchants, young men, and dissidents of many sorts) who resented the oppressive character of the colonial government. As her influence grew, the Massachusetts leadership mobilized to stop her. Hutchinson’s followers were numerous and influential enough to prevent Winthrop’s reelection as governor in 1636, but the next year he returned to office and put her on trial for heresy. Hutchinson embarrassed her accusers by displaying a remarkable knowledge of theology; but because she continued to defy clerical authority (and because she claimed she had herself communicated directly with the Holy Spirit—a violation of the Puritan belief that the age of such revelations had passed), she was convicted of sedition and banished as “a woman not fit for our society.” Her unorthodox views had challenged both religious belief and social order in Puritan Massachusetts. With her family and some of her followers, she moved to Rhode Island, and then into New Netherland (later
New York), where in 1643 she died during an Indian uprising.

Alarmed by Hutchinson’s heresy, male clergy began to restrict further the already limited public activities of women within congregations. As a result, many of Hutchinson’s followers began to migrate out of Massachusetts Bay, especially to New Hampshire and Maine.

Colonies had been established there in 1629 when two English proprietors, Captain John Mason and Sir Ferdinando Gorges, had received a grant from the Council for New England and divided it along the Piscataqua River to create two separate provinces. But despite their lavish promotional efforts, few settlers had moved into these northern regions until the religious disruptions in Massachusetts Bay. In 1639, John Wheelwright, a disciple of Anne Hutchinson, led some of his fellow dissenters to Exeter, New Hampshire. Other groups—of both dissenting and orthodox Puritans—soon followed. New Hampshire became a separate colony in 1679. Maine remained a part of Massachusetts until 1820.

Indians were less powerful rivals to the early New England immigrants than natives were to the English settlers farther south. By the mid-1630s, the native population, small to begin with, had been almost extinguished by the epidemics. The surviving Indians sold much of their land to the English (a great boost to settlement, since much of it had already been cleared). Some natives—known as “praying Indians”—even converted to Christianity and joined Puritan communities.

Indians provided crucial assistance to the early settlers as they tried to adapt to the new land. Whites learned from the natives about vital local food crops: corn, beans, pumpkins, and potatoes. They also learned such crucial agricultural techniques as annual burning for fertilization and planting beans to replenish exhausted soil. Natives also served as important trading partners to European immigrants, particularly in the creation of the thriving North American fur trade. They were an important market for such manufactured goods as iron pots, blankets, metal-tipped arrows, eventually guns and rifles, and (often...
tragedically) alcohol. Indeed, commerce with the Indians was responsible for the creation of some of the first great fortunes in British North America and for the emergence of wealthy families who would exercise influence in the colonies (and later the nation) for many generations.

But as in other areas of white settlement, there were also conflicts; and the early peaceful relations between whites and Indians did not last. Tensions soon developed as a result of the white colonists’ insatiable appetite for land. The expanding white demand for land was also a result of a change in the colonists’ agrarian economy. As wild animals began to disappear from overhunting, colonists began to concentrate more and more on raising domesticated animals: cattle, sheep, hogs, horses, and others. As the herds expanded, so did the colonists’ need for new land. As a result, they moved steadily into territories such as the Connecticut Valley where they came into conflict with natives who were more numerous and more powerful than those along the Massachusetts coast.

The character of those conflicts—and the brutality with which whites assaulted their Indian foes—emerged in part out of changing Puritan attitudes toward the natives. At first, many white New Englanders had looked at the Indians with a slightly condescending admiration. Before long, however, they came to view them primarily as “heathens” and “savages,” and hence as a constant threat to the existence of a godly community in the New World. Some Puritans believed the solution to the Indian “problem” was to “civilize” the natives by converting them to Christianity and European ways, and some English missionaries had modest success in producing converts. One such missionary, John Eliot, even translated the Bible into an Algonquian language. Other Puritans, however, envisioned a harsher “solution”: displacing or, if that failed, exterminating the natives.

To the natives, the threat from the English was very direct. European settlers were penetrating deeper and deeper into the interior, seizing land, clearing forests, driving away much of the wild game on which the tribes depended for food. English farmers often let their livestock run wild, and the animals often destroyed natives’ crops. Now land and food shortages exacerbated the drastic Indian population decline that had begun as a result of epidemic diseases. There had been more than 100,000 Indians in New England at the beginning of the seventeenth century; by 1675, only 10,000 remained. This decline created despair among New England natives. It drove some Indians to alcoholism and others to conversion to Christianity. But it drove others to war.

The Pequot War, King Philip’s War, and the Technology of Battle

The first major conflict came in 1637, when hostilities broke out between English settlers in the Connecticut Valley and the Pequot Indians of the region as a result of competition over trade with the Dutch in New Netherland and friction over land. In what became known as the Pequot War, English settlers allied with the Mohegan and Narragansett Indians (rivals of the Pequots). The greatest savagery in the conflict was the work of the English. In the bloodiest act of the war, white raiders under Captain John Mason
marched against a palisaded Pequot stronghold and set it afire. Hundreds of Indians either burned to death in the flaming stockade or were killed as they attempted to escape. Those who survived were hunted down, captured, and sold as slaves. The Pequot tribe was almost wiped out.

The most prolonged and deadly encounter between whites and Indians in the seventeenth century began in 1675, a conflict that the English would remember for generations as King Philip’s War. As in the earlier Pequot War in Connecticut, an Indian tribe—in this case the Wampanoags, under the leadership of a chieftain known to the white settlers as King Philip and among his own people as Metacomet—rose up to resist the English. The Wampanoags had not always been hostile to the settlers; indeed, Metacomet’s grandfather had once forged an alliance with the English, and Metacomet himself was well acquainted with the colonists. It was perhaps his knowledge of the English that led him to distrust them and to begin building alliances with neighboring tribes. By the 1670s, he had become convinced that only armed resistance could protect them from English incursions into their lands and, more immediately, from the efforts by the colonial governments to impose English law on the natives. (A court in Plymouth had recently tried and hanged several Wampanoags for murdering a member of their own tribe.)

For three years, the natives—well organized and armed with guns—terrorized a string of Massachusetts towns, destroying twenty of them and causing the deaths of as many as a thousand people (including at least one-sixteenth of the white males in the colony). The war greatly weakened both the society and economy of Massachusetts. But, in 1676, the white settlers fought back and gradually prevailed. They received critical aid from the Mohawks, longtime rivals of the Wampanoags, and guides, spies, and soldiers recruited from among the so-called praying Indians (Christian converts) of the region. While white militiamen attacked Indian villages and destroyed native food supplies, a group of Mohawks ambushed, shot, and killed Metacomet, then bore his severed head to Boston to present to the colonial leaders. After that, the fragile alliance that Metacomet had managed to forge among local tribes collapsed. Europeans were soon able to crush the uprising. Some Wampanoag leaders were executed; others were sold into slavery in the West Indies. The Wampanoags and their allies, their populations depleted and their natural resources reduced, were now powerless to resist the English.

Yet these victories by the white colonists did not end the danger to their settlements. Other Indians in other tribes survived and were still capable of attacking English settlements. The New England settlers also faced competition not only from the natives but also from the Dutch and the French, who claimed the territory on which some of the outlying settlements were established. The French, in particular, would pose a constant threat to the English through their alliance with the Algonquians. In later years, they would join forces with Indians in their attacks on the New England frontier.

The character of the Pequot War, King Philip’s War, and many other conflicts between natives and settlers in the years that followed was crucially affected by earlier exchanges of technology between the English and the tribes. In particular, the Indians made effective use of a relatively new weapon introduced to New England by Miles Standish and others: the flintlock rifle. It replaced the earlier staple of colonial musketry, the matchlock rifle, which proved too heavy, cumbersome, and inaccurate to be useful in the kind of combat characteristic of Anglo-Indian struggles. The matchlock had to be steadied on a fixed object and ignited with a match before firing; the flintlock could be held up without support and fired without a match. (Indians using bows and arrows often outmatched settlers using the clumsy matchlocks.)

Many English settlers were slow to give up their cumbersome matchlocks for the lighter flintlocks. But the Indians recognized the advantages of the newer rifles right away and began purchasing them in large quantities as part of their regular trade with the colonists. Despite rules forbidding colonists to instruct natives on how to use and repair the weapons, the natives learned to handle the rifles, and even to repair them, very effectively on their own. They even built a substantial forge for shaping and repairing rifle parts. In King Philip’s War, the very high casualties on both sides were a result of the use of these more advanced rifles.

Indians also used more traditional military technologies in their conflicts with the English—especially the construction of forts. The Narragansetts, allies of the Wampanoags in King Philip’s War, built an enormous fort in the Great Swamp of Rhode Island in 1675, which became the site of one of the bloodiest battles of the war before English attackers burned it down. After that, a band of Narragansetts set out to build a large stone fort, with the help of a member of the tribe who had learned masonry while working with the English. When English soldiers discovered the stone fort in 1676, after the end of King Philip’s War, they killed most of its occupants and destroyed it. In the end, the technological skills of the Indians (both those they borrowed from the English and those they drew from their own traditions) proved no match for the overwhelming advantages of the English settlers in both numbers and firepower.

THE RESTORATION COLONIES

By the end of the 1630s, English settlers had established six significant colonies in the New World: Virginia, Massachusetts, Maryland, Connecticut, Rhode Island, and New Hampshire. (Maine remained officially part of
Massachusetts until after the American Revolution.) But for nearly thirty years after Lord Baltimore received the charter for Maryland in 1632, the English government launched no additional colonial ventures. It was preoccupied with troubles of its own at home.

The English Civil War

England’s problems had begun during the rule of James I, who attracted widespread opposition before he died in 1625 but never openly challenged Parliament. His son, Charles I, was not so prudent. After he dissolved Parliament in 1629 and began ruling as an absolute monarch, he steadily alienated a growing number of his subjects—and the members of the powerful Puritan community above all. Finally, desperately in need of money, Charles called Parliament back into session and asked it to levy new taxes. But he antagonized the members by dismissing them twice in two years. In 1642, some of them organized a military challenge to the king, thus launching the English Civil War.

The conflict between the Cavaliers (the supporters of the king) and the Roundheads (the forces of Parliament, who were mostly Puritans) lasted seven years. Finally, in 1649, the Roundheads defeated the king’s forces, captured Charles himself, and—in an action that horrified not only much of continental Europe at the time but also future generations of English men and women—beheaded the monarch. To replace him, they elevated the stern Roundhead leader Oliver Cromwell to the position of “protector,” from which he ruled for the next nine years. When Cromwell died in 1658, his son and heir proved unable to maintain his authority. Two years later, King Charles II, son of the beheaded monarch, returned from exile and claimed the throne.

Among the many results of the Stuart Restoration was the resumption of colonization in America. Charles II quickly began to reward faithful courtiers with grants of land in the New World; and in the twenty-five years of his reign, he issued charters for four additional colonies: Carolina, New York, New Jersey, and Pennsylvania. The new colonies were all proprietary ventures (modeled on Maryland rather than on Virginia and Massachusetts), thus exposing an important change in the nature of American settlement. No longer were private companies interested in launching colonies, realizing at last that there were no quick profits to be had in the New World. The goal of the new colonies was not so much quick commercial success as permanent settlements that would provide proprietors with land and power.

The Carolinas

Carolina (a name derived from the Latinate form of “Charles”) was, like Maryland, carved in part from the original Virginia grant. Charles II awarded the territory to a group of eight court favorites, all prominent politicians already active in colonial affairs. In successive charters issued in 1663 and 1665, the eight proprietors received joint title to a vast territory stretching south to the Florida peninsula and west to the Pacific Ocean. Like Lord Baltimore, they received almost kingly powers over their grant.

Also like Lord Baltimore, they expected to profit as landlords and land speculators. They reserved large estates for themselves, and they proposed to sell or give away the rest in smaller tracts (using a headright system similar to those in Virginia and Maryland) and to collect annual payments (“quitrents”) from the settlers. Although committed Anglicans themselves, they welcomed any settlers they could get. The charter of the colony guaranteed religious freedom to everyone who would worship as a Christian. The proprietors also promised a measure of political freedom; laws were to be made by a representative assembly. With these incentives, they hoped to attract settlers from the existing American colonies and thus to avoid the expense of financing expeditions from England.

Their initial efforts failed dismally, and some of the original proprietors gave up. But one man—Anthony Ashley Cooper, soon to become the earl of Shaftesbury—persisted. Cooper convinced his partners to finance migrations to Carolina from England. In the spring of 1670, the first of these expeditions—a party of 300—set out from England. Only 100 people survived the difficult voyage; those who did established a settlement in the Port Royal area of the Carolina coast. Ten years later they founded a city at the junction of the Ashley and Cooper Rivers, which in 1690 became the colonial capital. They called it Charles Town. (It was later renamed Charleston.)

The earl of Shaftesbury, troubled by the instability in England, wanted a planned and well-ordered community. With the aid of the English philosopher John Locke, he drew up the Fundamental Constitution for Carolina in 1669, which created an elaborate system of land distribution and an elaborately designed social order. In fact, however, Carolina developed along lines quite different from the almost utopian vision of Shaftesbury and Locke. For one thing, the colony was never really united in anything more than name. The northern and southern regions remained both widely separated and socially and economically distinct from one another. The northern settlers were mainly backwoods farmers, isolated from the outside world, scratching out a meager existence through subsistence agriculture. They developed no important aristocracy and for many years imported virtually no African slaves. In the south, fertile lands and the good harbor at Charles Town promoted a more prosperous economy and a more aristocratic society. Settlements grew up rapidly along the Ashley and Cooper Rivers, and colonists established a flourishing trade in corn, lumber,
cattle, pork, and (beginning in the 1690s) rice—which was to become the colony’s principal commercial crop. Traders from the interior used Charles Town to market furs and hides they had acquired from Indian trading partners; some also marketed Indian slaves, generally natives captured by rival tribes and sold to the white traders.

Southern Carolina very early developed close ties to the large (and now overpopulated) English colony on the island of Barbados. For many years, Barbados was Carolina’s most important trading partner. During the first ten years of settlement, most of the new settlers in Carolina were Barbadians, some of whom arrived with large groups of African workers and established themselves quickly as substantial landlords. African slavery had taken root on Barbados earlier than in any of the mainland colonies (see pp. 56–57); and the white Caribbean migrants—tough, uncompromising profit seekers—established a similar slave-based plantation society in Carolina. (The proprietors, four of whom had a financial interest in the African slave trade, also encouraged the importation of Africans.)

For several decades, Carolina remained one of the most unstable English colonies in America. There were tensions between the small farmers of the Albemarle region in the north and the wealthy planters in the south. There were conflicts between the rich Barbadians in southern Carolina and the smaller landowners around them. After Lord Shaftesbury’s death, the proprietors proved unable to establish order, and in 1719 the colonists seized control of the colony from them. Ten years later, the king divided the region into two royal colonies, North and South Carolina.

New Netherland, New York, and New Jersey
In 1664, one year after he issued the Carolina charter, Charles II granted to his brother James, the duke of York, all the territory lying between the Connecticut and Delaware Rivers. But much of the territory included in
the grant was already claimed by the Dutch, who had established substantial settlements at New Amsterdam and other strategic points beginning in 1624.

The emerging conflict between the English and the Dutch in America was part of a larger commercial rivalry between the two nations throughout the world. But the English particularly resented the Dutch presence in America, because it served as a wedge between the northern and southern English colonies and because it provided bases for Dutch smugglers evading English customs laws. And so in 1664, an English fleet under the command of Richard Nicolls sailed into the lightly defended port of New Amsterdam and extracted a surrender from its unpopular Dutch governor, Peter Stuyvesant, who had failed to mobilize resistance to the invasion. Under the Articles of Capitulation, the Dutch colony surrendered to the British in return for assurances that the Dutch settlers would not be displaced. In 1673, the Dutch briefly reconquered New Amsterdam. But they lost it for good in 1674.

James, the duke of York, his title to New Netherlands now clear, renamed the colony New York and prepared to govern a colony of extraordinary diversity. New York contained not only Dutch and English, but Scandinavians, Germans, French, Africans (imported as slaves by the Dutch West India Company), and members of several different Indian tribes. There were, of course, many different religious faiths among these groups. James made no effort to impose his own Roman Catholicism on the colony. Like other proprietors before him, he remained in England and delegated powers to a governor and a council. But he provided for no representative assembly, perhaps because a parliament had executed his own father, Charles I. The laws did, however, establish local governments and guarantees of religious toleration. Nevertheless, there were immediate tensions over the distribution of power in the colony. The great Dutch “patroons” (large landowners) survived with their economic and political power largely intact. James granted large estates as well to some of his own political supporters in an effort to create a class of influential landowners loyal to him. Power in the colony thus remained widely and unequally dispersed—among wealthy English landlords, Dutch patroons, fur traders (who forged important alliances with the Iroquois), and the duke’s political appointees. Like Carolina, New York would for many years be a highly factious society.

It was also a growing and generally prosperous colony. By 1685, when the duke of York ascended the English
throne as James II, New York contained approximately 30,000 people, about four times as many as when James had received his grant twenty years before. Most of them still lived within the Hudson Valley, close to the river itself, with the largest settlement at its mouth, in the town of New York (formerly New Amsterdam).

Originally, James’s claims in America extended south of the Hudson to the Delaware Valley and beyond. But shortly after receiving his charter, he gave a large portion of that land to a pair of political allies, Sir John Berkeley and Sir George Carteret, both of whom were also Carolina proprietors. Carteret named the territory New Jersey, after the island in the English Channel on which he had been born. In 1702, after nearly a decade of political squabbling and economic profitlessness, the proprietors ceded control of the territory back to the crown and New Jersey became a royal colony.

Like New York (from which much of the population had come), New Jersey was a place of enormous ethnic and religious diversity. But unlike New York, New Jersey developed no important class of large landowners; most of its residents remained small farmers. Nor did New Jersey (which, unlike New York, had no natural harbor) produce any single important city.

The Quaker Colonies
Pennsylvania, like Massachusetts, was born out of the efforts of dissenting English Protestants to find a home for their own religion and their own distinctive social order. The Society of Friends originated in mid-seventeenth-century England and grew into an important force as a result of the preachings of George Fox, a Nottingham shoemaker, and Margaret Fell. Their followers came to be known as Quakers because Fox urged them to “tremble at the name of the Lord.” Unlike the Puritans, Quakers rejected the concepts of predestination and original sin. All people had divinity within themselves (an “Inner Light,” which could guide them along the path of righteousness), and all who cultivated that divinity could attain salvation. Also unlike the Puritans, Quakers granted women a position within the church generally equal to that of men. Women and men alike could become preachers and define church doctrine, an equality symbolized by the longtime partnership between Fox and Fell.

Of all the Protestant sectarians of the time, the Quakers were the most anarchistic and democratic. They had no church government, only periodic meetings of representatives from congregations. They had no paid clergy, and in their worship they spoke up one by one as the spirit moved them. Disregarding distinctions of gender and class, they addressed one another with the terms “thee” and “thou,” words then commonly used in other parts of English society only in speaking to servants and social inferiors. And as confirmed pacifists, they refused to fight in wars. The Quakers were unpopular enough in England as a result of these beliefs and practices. They increased their unpopularity by occasionally breaking up other religious groups at worship. Many were jailed.

As a result, like the Puritans before them, the Quakers looked to America for asylum. A few went to New England. But except in Rhode Island, they were greeted there with fines, whippings, and banishment; three men and a woman...
who refused to leave were actually put to death. Others migrated to northern Carolina, and there became the fastest-growing religious community in the region. They were soon influential in colonial politics. But many Quakers wanted a colony of their own. As a despised sect, they had little chance of getting the necessary royal grant without the aid of someone influential at court. But fortunately for Fox and his followers, a number of wealthy and prominent men had become attracted to the faith. One of them was William Penn—the son of an admiral in the Royal Navy who was a landlord of valuable Irish estates. He had received the gentleman’s education expected of a person of his standing, but he resisted his father in being attracted to untraditional religions. Converted to the doctrine of the Inner Light, the younger Penn became an evangelist for Quakerism. With George Fox, he visited the European continent and found Quakers there who, like Quakers in England, longed to emigrate to the New World. He set out to find a place for them to go.

Penn turned his attention first to New Jersey and soon became an owner and proprietor of part of the colony. But in 1681, after the death of his father, Penn inherited his father’s Irish lands and also his father’s claim to a large debt from the king. Charles II, short of cash, paid the debt with a grant of territory between New York and Maryland—an area larger than England and Wales combined and which (unknown to him) contained more valuable soil and minerals than any other province of English America. Penn would have virtually total authority within the province. At the king’s insistence, the territory was named Pennsylvania, after Penn’s late father.

Like most proprietors, Penn wanted Pennsylvania to be profitable for him and his family. And so he set out to attract settlers from throughout Europe through informative and honest advertising in several languages. Pennsylvania soon became the best known of all the colonies among ordinary people in England and on the European continent, and also the most cosmopolitan. Settlers flocked to the province from throughout Europe, joining several hundred Swedes and Finns who had been living in a small trading colony—New Sweden—established in 1638 at the mouth of the Delaware River. But the colony was never profitable for Penn and his descendants. Indeed, Penn himself, near the end of his life, was imprisoned in England for debt and died in poverty in 1718.

Penn was more than a mere real estate promoter; however, and he sought to create in Pennsylvania what he called a holy experiment. In 1682, he sailed to America and personally supervised the laying out of a city between the Delaware and the Schuylkill Rivers, which he named Philadelphia (“Brotherly Love”). With its rectangular streets, like those of Charles Town, Philadelphia helped set the pattern for most later cities in America. Penn believed, as had Roger Williams, that the land belonged to the Indians, and he was careful to see that they were reimbursed for it, as well as to see that they were not debauched by the fur traders’ alcohol. Indians respected Penn as an honest white man, and during his lifetime the colony had no major conflicts with the natives. More than any other English colony, Pennsylvania prospered from the outset (even if its proprietor did not), because of Penn’s successful recruitment of emigrants, his thoughtful planning, and the region’s mild climate and fertile soil.

But the colony was not without conflict. By the late 1690s, some residents of Pennsylvania were beginning to resist the nearly absolute power of the proprietor. Southern residents in particular complained that the government in Philadelphia was unresponsive to their needs. As a result, a substantial opposition emerged to challenge Penn. Pressure from these groups grew to the point that in 1701, shortly before he departed for England for the last time, Penn agreed to a Charter of Liberties for the colony. The charter established a representative assembly (consisting, alone among the English colonies, of only one house), which greatly limited the authority of the proprietor. The charter also permitted “the lower counties” of the colony to establish their own representative assembly. The three counties did so in 1703 and as a result became, in effect, a separate colony: Delaware—although until the American Revolution, it had the same governor as Pennsylvania.

**BORDERLANDS AND MIDDLE GROUNDS**

The English colonies along the Atlantic seaboard of North America eventually united, expanded, and became the beginnings of a great nation. But in the seventeenth and early eighteenth centuries, they were small, frail settlements surrounded by other, competing societies. The British Empire in North America was, in fact, a much smaller and weaker one than the great Spanish Empire to the south, and not, on the surface at least, clearly stronger than the enormous French Empire to the north.

The continuing contest for control of North America, and the complex interactions among the diverse peoples populating the continent, were most clearly visible in areas around the borders of English settlement—the Caribbean and along the northern, southern, and western borders of the coastal colonies.

**The Caribbean Islands**

Throughout the first half of the seventeenth century, the most important destination for English immigrants was not the mainland, but rather the islands of the Caribbean and the northern way station of Bermuda. More than half the
English migrants to the New World in those years settled on these islands. The island societies had close ties to English North America from the beginning and influenced the development of the mainland colonies in several ways. But they were also surrounded by, and sometimes imperiled by, outposts of the Spanish Empire.

Before the arrival of Europeans, most of the Caribbean islands had substantial native populations—the Arawaks, the Caribs, and the Ciboney. But beginning with Christopher Columbus’s first visit in 1492, and accelerating after the Spanish established their first colony on Hispaniola in 1496, the native population was all but wiped out by European epidemics. Indians were never a significant factor in European settlement of the Caribbean. Indeed, by the time significant European settlement of the islands began, many were almost entirely deserted.

The Spanish Empire claimed title to all the islands in the Caribbean, but there was substantial Spanish settlement only on the largest of them: Cuba, Hispaniola, and Puerto Rico. English, French, and Dutch traders began settling on some of the smaller islands early in the sixteenth century, although these weak colonies were always vulnerable to Spanish attack. After Spain and the Netherlands went to war in 1621 (distracting the Spanish navy and leaving the English in the Caribbean relatively unmolested), the pace of English colonization increased. By midcentury, there were several substantial English settlements on the islands, the most important of them on Antigua, St. Kitts, Jamaica, and Barbados. Even so, through the seventeenth century, the English settlements in the Caribbean were the targets of almost constant attacks and invasions by the Spanish, the Portuguese, the French, the Dutch, and the remaining Indians of the region. The world of the Caribbean was a violent and turbulent place.

The Caribbean colonies built their economies on raising crops for export. In the early years, English settlers experimented unsuccessfully with tobacco and cotton. But they soon discovered that the most lucrative crop was sugar, for which there was a substantial and growing market in Europe. Sugarcane could also be distilled into rum, for which there was also a booming market abroad. Within a decade of the introduction of sugar cultivation to the West Indies, planters were devoting almost all of their land to sugarcane. In their appetite for more land for sugarcane, they cut down forests and destroyed the natural

**Making Molasses in Barbados** African slaves, who constituted the vast majority of the population of the flourishing sugar-producing island of Barbados, work here in a sugar mill grinding sugarcane and then boiling it to produce refined sugar, molasses, and—after a later distillation process not pictured here—rum. (Arents Collections, Rare Books Division, New York Public Library, Astor, Lenox and Tilden Foundations)
habitats of many animals, and greatly reduced the amount of land available for growing food.

Because sugar was a labor-intensive crop, and because the remnant of the native population was too small to provide a work force, English planters quickly found it necessary to import laborers. As in the Chesapeake, they began by bringing indentured servants from England. But the arduous work discouraged white laborers; many found it impossible to adapt to the harsh tropical climate so different from that of England. By midcentury, therefore, the English planters in the Caribbean (like the Spanish colonists who preceded them) were relying more and more heavily on an enslaved African work force, which soon substantially outnumbered them.

On Barbados and other islands where a flourishing sugar economy developed, the English planters were a tough, aggressive, and ambitious breed. Some of them grew enormously wealthy; and since their livelihoods depended on their work forces, they expanded and solidified the system of African slavery there remarkably quickly.

By the late seventeenth century, there were four times as many African slaves as there were white settlers. By then the West Indies had ceased to be an attractive destination for ordinary English immigrants; most now went to the colonies on the North American mainland instead.

### Masters and Slaves in the Caribbean

A small, mostly wealthy white population, and a large African population held in bondage made for a potentially explosive combination. As in other English colonies in the New World in which Africans came to outnumber Europeans, whites in the Caribbean grew fearful of slave revolts. They had good reason, for there were at least seven major slave revolts in the islands, more than the English colonies of North America experienced in their entire history as slave societies. As a result, white planters monitored their labor forces closely and often harshly. Beginning in the 1660s, all the islands enacted legal codes to regulate relations between masters and slaves and to give white people...
virtually absolute authority over Africans. A master could even murder a slave with virtual impunity.

There was little in either the law or in the character of the economy to compel planters to pay much attention to the welfare of their workers. Many white slaveowners concluded that it was cheaper to buy new slaves periodically than to protect the well-being of those they already owned, and it was not uncommon for masters to work their slaves to death. Few African workers survived more than a decade in the brutal Caribbean working environment—they were either sold to planters in North America or died. Even whites, who worked far less hard than did the slaves, often succumbed to the harsh climate; most died before the age of forty—often from tropical diseases to which they had no immunity.

Establishing a stable society and culture was extremely difficult for people living in such harsh and even deadly conditions. Many of the whites were principally interested in getting rich and had no long-term commitment to the islands. Those who could returned to England with their fortunes and left their estates in the hands of overseers. A large proportion of the European settlers were single men, many of whom either died or left at a young age. Those who remained, many of them common white farmers and laborers living in desperate poverty, were too poor to contribute to the development of the society. With few white women on the islands and little intermarriage between blacks and whites, Europeans in the Caribbean lacked many of the institutions that gave stability to the North American settlements: church, family, community.

Africans in the Caribbean faced even greater difficulties, of course, but they managed to create a world of their own despite the hardships. They started families (although many of them were broken up by death or the slave trade); they sustained African religious and social traditions (and showed little interest in Christianity); and within the rigidly controlled world of the sugar plantations, they established patterns of resistance.

The Caribbean settlements were connected to the North American colonies in many ways. They were an important part of the Atlantic trading world in which many Americans became involved—a source of sugar and rum and a market for goods made in the mainland colonies and in England. They were the principal source of African slaves for the mainland colonies; well over half the slaves in North America came from the islands, not directly from Africa. And because Caribbean planters established an elaborate plantation system earlier than planters in North America, they provided models that many mainland people consciously or unconsciously copied. In the American South, too, planters grew wealthy at the expense of poor whites and, above all, of African slaves.

The Southwestern Borderlands

By the end of the seventeenth century, the Spanish Empire had established only a small presence in the regions that became the United States. In Mexico and regions farther south, the Spanish had established a sophisticated and impressive empire. Their capital, Mexico City, was the most dazzling metropolis in the Americas. The Spanish residents, well over a million of them, enjoyed much greater prosperity than all but a few English settlers in North America.

But the principal Spanish colonies north of Mexico—Florida, Texas, New Mexico, Arizona, and California—although attracting religious minorities, Catholic missionaries, independent ranchers fleeing the heavy hand of imperial authority, and Spanish troops defending the northeastern flank of the empire, remained weak and peripheral parts of the great empire to their south.

New Mexico was the most prosperous and populous of these Spanish outposts. Once the Spanish quelled the Pueblo revolt there in 1680 (see p. 19), they worked effectively with the natives of the region to develop a flourishing agriculture. By the early nineteenth century, New Mexico had a non-Indian population of over 10,000—the largest European settlement west of the Mississippi and north of Mexico—and it was steadily expanding through the region. But New Mexico was prosperous only when compared to other borderlands. Its residents were far less successful than the Spanish in Mexico and other more densely settled regions.

The Spanish began to colonize California once they realized that other Europeans—among them English merchants and French and Russian trappers—were beginning to establish a presence in the region. Formal Spanish settlement of California began in the 1760s, when the governor of Baja California was ordered to create outposts of the empire farther north. Soon a string of missions, forts (or presidios), and trading communities were springing up along the Pacific coast, beginning with San Diego and Monterey in 1769 and eventually San Francisco (1776), Los Angeles (1781), and Santa Barbara (1786). As in other areas of European settlement, the arrival of the Spanish in California (and the diseases they imported) had a devastating effect on the native population. Approximately 65,000 at the time of the first Spanish settlements, by 1820 it had declined by two-thirds. As the new settlements spread, however, the Spanish insisted that the remaining natives convert to Catholicism. That explains the centrality of missions in almost all the major Spanish outposts in California. But the Spanish colonists were also intent on creating a prosperous agricultural economy, and they enlisted Indian laborers to help them do so. California’s Indians had no choice but to accede to the demands of the Spanish, although there were frequent revolts by natives against the harsh conditions...
imposed upon them. Already decimated by disease, the tribes now declined further as a result of malnutrition and overwork at the hands of the Spanish missions.

In the late seventeenth and early eighteenth centuries, the Spanish considered the greatest threat to the northern borders of their empire to be the growing ambitions of the French. In the 1680s, French explorers traveled down the Mississippi Valley to the mouth of the river and claimed the lands they had traversed for their king, Louis XIV. They called the territory Louisiana. Fearful of French incursions farther west, and unsettled by the nomadic Indians driven into the territory by the French, the Spanish began to fortify their claim to Texas by establishing new forts, missions, and settlements there, including San Fernando (later San Antonio) in 1731. The region that is now Arizona was also becoming increasingly tied to the Spanish Empire. Northern Arizona was a part of the New Mexico colony and was governed from Santa Fe. The rest of Arizona (from Phoenix south) was controlled by the Mexican region of Sonora. As in California, much of the impetus for these settlements came from Catholic missionaries (in this case Jesuits), eager to convert the natives. But the missionary project met with little success. Unlike the sedentary Pueblos around Santa Fe, the Arizona natives were nomadic peoples, unlikely to settle down or to Christianize, frequently at war with rival tribes, and—like natives elsewhere—tragically vulnerable to smallpox, measles, and other imported diseases. As in California, epidemics reduced the native population of Arizona by two-thirds in the early eighteenth century.

Although peripheral to the great Spanish Empire to the south, the Spanish colonies in the Southwest nevertheless helped create enduring societies very unlike those being established by the English along the Atlantic seaboard. The Spanish colonies were committed not to displacing the native populations, but rather to enlisting them. They sought to convert them to Catholicism, to recruit them (sometimes forcibly) as agricultural workers, and to cultivate them as trading partners. The Spanish did not consider the natives to be their equals, certainly, and they did not treat them very well. But neither did they consider them merely as obstacles to their own designs, as many English settlers in the East did.

The Southeastern Borderlands

A more direct challenge to English ambitions in North America was the Spanish presence in the southeastern areas of what is now the United States. After the establishment of the Spanish claim to Florida in the 1560s (see p. 19), missionaries and traders began moving northward into Georgia and westward into what is now known as the panhandle, and some ambitious Spaniards began to dream of expanding their empire still farther north, into what became the Carolinas, and perhaps beyond. The founding of Jamestown in 1607 replaced those dreams with fears. The English colonies, they believed, could threaten their existing settlements in Florida and Georgia. As a result, the Spanish built forts in both regions to defend themselves against the slowly increasing English presence there. Throughout the eighteenth century, the area between the Carolinas and Florida was the site of continuing tension, and frequent conflict, between the Spanish and the English—and, to a lesser degree, between the Spanish and the French, who were threatening their northwestern borders with settlements in Louisiana and in what is now Alabama.

There was no formal war between England and Spain in these years, but that did not dampen the hostilities in the Southeast. English pirates continually harassed the Spanish settlements and, in 1668, actually sacked St. Augustine. Both sides in this conflict sought to make use of the native tribes. The English encouraged Indians in Florida to rise up against the Spanish missions. The Spanish, for their part, offered freedom to African slaves owned by Carolina settlers if they agreed to convert to Catholicism. About 100 Africans accepted the offer, and the Spanish later organized some of them into a military regiment to defend the northern border of New Spain. The English correctly viewed the Spanish recruitment of their slaves as an effort to undermine their economy. By the early eighteenth century, the constant fighting in the region had driven almost all the Spanish settlers out of Florida. The Spanish presence was almost entirely confined to St. Augustine on the Atlantic coast and Pensacola on the Gulf Coast, and to the modest colonies that surrounded the forts there. Because they were so few and so weak, they came to rely—far more than most British did—on natives and Africans and intermarried frequently with them.

Eventually, after more than a century of conflict in the southeastern borderlands, the English prevailed—acquiring Florida in the aftermath of the Seven Years’ War (known in America as the French and Indian War; see pp. 109–111) and rapidly populating it with settlers from their colonies to the north. Before that point, however, protecting the southern boundary of the British Empire in North America was a continual concern to the English and contributed in crucial ways to the founding of the colony of Georgia.

The Founding of Georgia

Georgia was unique in its origins. Its founders were a group of unpaid trustees led by General James Oglethorpe, a member of Parliament and military hero. They were interested in economic success, but they were driven primarily by military and philanthropic motives. They wanted to erect a military barrier against the Spanish lands on the southern border of English
America, and they wanted to provide a refuge for the impoverished, a place where English men and women without prospects at home could begin anew.

The need for a military buffer between South Carolina and the Spanish settlements in Florida was particularly urgent in the first years of the eighteenth century. In a 1676 treaty, Spain had recognized England’s title to lands already occupied by English settlers. But conflict between the two colonizing powers had continued. In 1686, a force of Indians and Creoles from Florida, directed by Spanish agents, attacked and destroyed an outlying South Carolina settlement south of the treaty line. And when hostilities broke out again between Spain and England in 1701 (known in England as Queen Anne’s War and on the Continent as the War of the Spanish Succession), the fighting renewed in America as well.

Oglethorpe, himself a veteran of Queen Anne’s War, was keenly aware of the military advantages of an English colony south of the Carolinas. Yet his interest in settlement rested even more on his philanthropic commitments. As head of a parliamentary committee investigating English prisons, he had grown appalled by the plight of honest debtors rotting in confinement. Such prisoners, and other poor people in danger of succumbing to a similar fate, could, he believed, become the farmer-soldiers of the new colony in America.

In 1732, King George II granted Oglethorpe and his fellow trustees control of the land between the Savannah and Altamaha Rivers. Their colonization policies reflected the vital military purposes of the colony. They limited the size of landholdings to make the settlement compact and easier to defend against Spanish and Indian attacks. They excluded Africans, free or slave; Oglethorpe feared slave labor would produce internal revolts, and that disaffected slaves might turn to the Spanish as allies. The trustees prohibited rum (both because Oglethorpe disapproved of it on moral grounds and because the trustees feared its effects on the natives). They strictly regulated trade with the Indians, again to limit the possibility of wartime insurrection. They also excluded Catholics for fear they might collude with their coreligionists in the Spanish colonies to the south.

Oglethorpe himself led the first colonial expedition to Georgia, which built a fortified town at the mouth of the Savannah River in 1733 and later constructed additional forts south of the Altamaha. In the end, only a few debtors were released from jail and sent to Georgia. Instead, the trustees brought hundreds of impoverished tradesmen and artisans from England and Scotland and many religious refugees from Switzerland and Germany. Among the immigrants was a small group of Jews. English settlers made up a lower proportion of the European population of Georgia than of any other English colony.

The strict rules governing life in the new colony stifled its early development and ensured the failure of Oglethorpe’s vision. Settlers in Georgia—many of whom were engaged in labor-intensive agriculture—needed a work force as much as those in other southern colonies. Almost from the start they began demanding the right to buy slaves. Some opposed the restrictions on the size of individual property holdings. Many resented the nearly absolute political power of Oglethorpe and the trustees. As a result, newcomers to the region generally preferred to settle in South Carolina, where there were fewer restrictive laws.

Oglethorpe (whom some residents of Georgia began calling “our perpetual dictator”) at first bitterly resisted the demands of the settlers for social and political reform. Over time, however, he grew frustrated at its failure to grow. He also suffered military disappointments, such as a 1740 assault on the Spanish outpost at St. Augustine, Florida, which ended in failure. Oglethorpe, now disillusioned with his American venture, began to loosen his grip. Even before the 1740 defeat, the trustees had removed the limitation on individual landholdings. In 1750, they removed the ban on slavery. A year later they ended the prohibition of rum and returned control of the colony to the king, who immediately permitted the summoning of a representative assembly. Georgia continued to grow more slowly than the other southern colonies, but in other ways it now developed along lines roughly similar to those of South Carolina. By 1770, there were over 20,000 non-Indian residents of the colony, nearly half of them African slaves.

Middle Grounds

The struggle for the North American continent was, of course, not just one among competing European empires. It was also a contest between the new European immigrants and the native populations.

In some parts of the British Empire—Virginia and New England, for example—English settlers quickly established their dominance, subjugating and displacing most natives until they had established societies that were dominated almost entirely by Europeans. But elsewhere the balance of power remained far more precarious. Along the western borders of English settlement, in particular, Europeans and Indians lived together in regions in which neither side was able to establish clear dominance. In these “middle grounds,” as they have been called, the two populations—despite frequent conflicts—carved out ways of living together, with each side making concessions to the other. Here the Europeans found themselves obliged to adapt to tribal expectations at least as much as the Indians had to adapt to European ones.

To the Indians, the European migrants were both menacing and appealing. They feared the power of these
mutually beneficial relationships with the tribes than were gifts and ceremonies and mediation. Eventually they did so, and in large western regions—especially those around the Great Lakes—they established a precarious peace with the tribes that lasted for several decades.

But as the British and (after 1776) American presence in the region grew, the balance of power between Europeans and natives shifted. Newer settlers had difficulty adapting to the complex rituals of gift-giving and mediation that the earlier migrants had developed. The stability of the relationship between the Indians and whites deteriorated. By the early nineteenth century, the “middle grounds” had collapsed, replaced by a European world in which Indians were more ruthlessly subjugated and eventually removed. Nevertheless, it is important to recognize that for a considerable period of early American history, the story of the relationship between whites and Indians was not simply a story of conquest and subjugation, but also—in some regions—a story of a difficult but stable accommodation and mutual adaptation. The Indians were not simply victims in the story of the growth of European settlement in North America. They were also important actors, sometimes obstructing and sometimes facilitating the development of the new societies.
THE EVOLUTION OF THE BRITISH EMPIRE

The English colonies in America had originated as quite separate projects, and for the most part they grew up independent of one another. But by the mid-seventeenth century, the growing commercial success of the colonial ventures was producing pressure in England for a more rational, uniform structure to the empire.

The Drive for Reorganization

Imperial reorganization, many people in England claimed, would increase the profitability of the colonies and the power of the English government to supervise them. Above all, it would contribute to the success of the mercantile system, the foundation of the English economy. Colonies would provide a market for England’s manufactured goods and a source for raw materials it could not produce at home, thus increasing the total wealth of the nation. But for the new possessions truly to promote mercantilist goals, England would have to exclude foreigners (as Spain had done) from its colonial trade. According to mercantilist theory, any wealth flowing to another nation could come only at the expense of England itself. Hence the British government sought to monopolize trade relations with its colonies.

In theory, the mercantile system offered benefits to the colonies as well by providing them with a ready market for the raw materials they produced and a source for the manufactured goods they did not. But some colonial goods were not suitable for export to England, which produced wheat, flour, and fish and had no interest in obtaining them from America. Colonists also found it more profitable at times to trade with the Spanish, French, or Dutch even in goods that England did import. Thus, a considerable trade soon developed between the English colonies and non-English markets.

For a time, the English government made no serious efforts to restrict this challenge to the principles of mercantilism, but gradually it began passing laws to regulate colonial trade. During Oliver Cromwell’s “Protectorate,” in 1650 and 1651, Parliament passed laws to keep Dutch ships out of the English colonies. After the Restoration, the government of Charles II adopted three Navigation Acts designed to regulate colonial commerce even more strictly. The first of them, in 1660, closed the colonies to all trade except that carried in English ships. This law also required the colonists to export certain items, among them tobacco, only to England or English possessions. The second act, in
SAVANNAH IN 1734  This early view of the English settlement at Savannah by an English artist shows the intensely orderly character of Georgia in the early moments of European settlement there. As the colony grew, its residents gradually abandoned the rigid plan created by Georgia’s founders.  


1663, provided that all goods being shipped from Europe to the colonies had to pass through England on the way; that would make it possible for England to tax them. The third act, in 1673, was a response to the widespread evasion of the first two laws by the colonial shippers, who frequently left port claiming to be heading for another English colony but then sailed to a foreign port. It imposed duties on the coastal trade among the English colonies, and it provided for the appointment of customs officials to enforce the Navigation Acts. These acts formed the legal basis of England’s mercantile system in America for a century.

The system created by the Navigation Acts had obvious advantages for England. But it had some advantages for the colonists as well. By restricting all trade to British ships, the laws encouraged the colonists (who were themselves legally British subjects) to create an important shipbuilding industry of their own. And because the English wanted to import as many goods as possible from their own colonies (as opposed to importing them from rival nations), they encouraged—and at times subsidized—the development of American production of goods they needed, among them iron, silk, and lumber. Despite the bitter complaints the laws provoked in America in the late seventeenth century, and the more bitter conflicts they would help to provoke decades later, the system of the Navigation Acts served the interests of the British and the Americans alike reasonably well through most of the eighteenth century.

The Dominion of New England

Enforcement of the Navigation Acts required not only the stationing of customs officials in America, but also the establishment of an agency in England to oversee colonial affairs. In 1679, Charles II attempted to increase his control over Massachusetts (which behaved at times as if its leaders considered it an independent nation) by stripping the colony of its authority over New Hampshire and chartering a separate, royal colony there whose governor he would himself appoint. Five years later, after the Massachusetts General Court defied instructions from Parliament to enforce the Navigation Acts, Charles revoked the Massachusetts corporate charter and made it a royal colony.
Charles II’s brother and successor, James II, who came to the throne in 1685, went much further. In 1686, he created a single Dominion of New England, which combined the government of Massachusetts with the governments of the rest of the New England colonies and, in 1688, with those of New York and New Jersey as well. He eliminated the existing assemblies within the new Dominion and appointed a single governor, Sir Edmund Andros, to supervise the entire region from Boston. Andros was an able administrator but a stern and tactless man; his rigid enforcement of the Navigation Acts, his brusque dismissal of the colonists’ claims to the “rights of Englishmen,” and his crude and arbitrary tactics made him quickly and thoroughly unpopular. He was particularly despised in Massachusetts, where he tried to strengthen the Anglican Church.

The “Glorious Revolution”

James II was not only losing friends in America; he was making powerful enemies in England by attempting to exercise autocratic control over Parliament and the courts. He was also appointing his fellow Catholics to high office, inspiring fears that he would try to reestablish Catholicism as England’s official religion. By 1688, his popular support had all but vanished.

Until 1688, James’s heirs were two daughters—Mary and Anne—both of whom were Protestant. But in that year the king had a son and made clear that the boy would be raised a Catholic. Some members of Parliament were so alarmed that they invited the king’s daughter Mary and her husband, William of Orange, ruler of the Netherlands and Protestant champion of Europe, to assume the throne together. When William and Mary arrived in England with a small army, James II (perhaps remembering what had happened to his father, Charles I) offered no resistance and fled to France. As a result of this bloodless coup, which the English called “the Glorious Revolution,” William and Mary became joint sovereigns.

When Bostonians heard of the overthrow of James II, they moved quickly to unseat his unpopular viceroy in New England. Andros managed to escape an angry mob, but he was arrested and imprisoned as he sought to flee the city dressed as a woman. The new sovereigns in England chose not to contest the toppling of Andros and quickly acquiesced in what the colonists had, in effect, already done: abolishing the Dominion of New England and restoring separate colonial governments. They did not, however, accede to all the colonists’ desires. In 1691, they combined Massachusetts with Plymouth and made it a royal colony. The new charter restored the General Court, but it gave the crown the right to appoint the governor. It also replaced church membership with property ownership as the basis for voting and officeholding and required the Puritan leaders of the colony to tolerate Anglican worship.

Andros had been governing New York through a lieutenant governor, Captain Francis Nicholson, who enjoyed the support of the wealthy merchants and fur traders of the province—the same groups who had dominated the colony for years. Other, less favored colonists—farmers, mechanics, small traders, and shopkeepers—had a long accumulation of grievances against both Nicholson and his allies. The leader of the New York dissidents was Jacob Leisler, a German immigrant and a prosperous merchant who had married into a prominent Dutch family but had never won acceptance as one of the colony’s ruling class. Much like Nathaniel Bacon in Virginia, the ambitious Leisler resented his exclusion and eagerly grasped the opportunity to challenge the colonial elite. In May 1689, when news of the Glorious Revolution in England and the fall of Andros in Boston reached New York, Leisler raised a militia, captured the city fort, drove Nicholson into exile, and proclaimed himself the new head of government in New York. For two years, he tried in vain to stabilize his power in the colony amid fierce factional rivalry. In 1691, when William and Mary appointed a new governor, Leisler briefly resisted this challenge to his authority. Although he soon yielded, his hesitation allowed his many political enemies to charge him with treason. He and one of his sons-in-law were hanged, drawn, and quartered. Fierce rivalry between what became known as the “Leislers” and the “anti-Leislers” dominated the politics of New York for many years thereafter.

In Maryland, many people erroneously assumed when they heard news of the Glorious Revolution that their proprietor, the Catholic Lord Baltimore, who was living in England, had sided with the Catholic James II and opposed William and Mary. So in 1689, an old opponent of the proprietor’s government, John Coode, started a new revolt, which drove out Lord Baltimore’s officials in the name of Protestantism. Through an elected convention, his supporters chose a committee to run the government and petitioned the crown for a charter as a royal colony. In 1691, William and Mary complied, stripping the proprietor of his authority. The colonial assembly established the Church of England as the colony’s official religion and forbade Catholics to hold public office, to vote, or even to practice their religion in public. Maryland became a proprietary colony again in 1715, but only after the fifth Lord Baltimore joined the Anglican Church.

As a result of the Glorious Revolution, the colonies revived their representative assemblies and successfully thwarted the plan for colonial unification. In the process, they legitimized the idea that the colonists had some rights within the empire, that the English government needed to consider their views in making policies that affected them. But the Glorious Revolution in America was not, as many Americans later came to believe, a clear demonstration of American resolve to govern itself or a clear victory for colonial self-rule. In New York and Maryland, in particular,
the uprisings had more to do with local factional and religious divisions than with any larger vision of the nature of the empire. And while the insurgencies did succeed in eliminating the short-lived Dominion of New England, their ultimate results were governments that increased the crown’s potential authority in many ways. As the first century of English settlement in America came to its end and as colonists celebrated their victories over arbitrary British rule, they were in fact becoming more a part of the imperial system than ever before.

**CONCLUSION**

The English colonization of North America was part of a larger effort by several European nations to expand the reach of their increasingly commercial societies. Indeed, for many years, the British Empire in America was among the smallest and weakest of the imperial ventures there, overshadowed by the French to the north and the Spanish to the south.

In the British colonies along the Atlantic seaboard, new agricultural and commercial societies gradually emerged—in the South, centered on the cultivation of tobacco and cotton and reliant on slave labor; and in the northern colonies, centered on traditional food crops and based mostly on free labor. Substantial trading centers emerged in such cities as Boston, New York, Philadelphia, and Charleston, and a growing proportion of the population became prosperous and settled in these increasingly complex communities. By the early eighteenth century, English settlement had spread from northern New England (in what is now Maine) south into Georgia.

But this growing British Empire coexisted with, and often found itself in conflict with, the presence of other Europeans—most notably the Spanish and the French—in other areas of North America. In these borderlands, societies did not assume the settled, prosperous form they were taking in the Tidewater and New England. They were raw, sparsely populated settlements in which Europeans, including over time increasing numbers of English, had to learn to accommodate not only one another but also the still-substantial Indian tribes with whom they shared these interior lands. By the middle of the eighteenth century, there was a significant European presence across a broad swath of North America—from Florida to Maine, and from Texas to Mexico to California—only a relatively small part of it controlled by the British. But changes were underway within the British Empire that would soon lead to its dominance through a much larger area of North America.

**INTERACTIVE LEARNING**

The Primary Source Investigator CD-ROM offers the following materials related to this chapter:

- Interactive maps: The Atlantic World (M68) and Growth of Colonies (M3).
- Documents, images, and maps related to the English colonization of North America, the borderlands, and the meeting of cultures. Highlights include letters and documents relating to the peace resulting from the marriage of Pocahontas to John Rolfe, and the eventual breakdown of that peace; early materials related to the origins of slavery in America, including a document that presents one of the earliest restrictive slave codes in the British colonies; and images of an early slave-trading fort on the coast of west Africa.

**Online Learning Center (www.mhhe.com/brinkley13e)**

For quizzes, Internet resources, references to additional books and films, and more, consult this book’s Online Learning Center.

**FOR FURTHER REFERENCE**